東吳證券(香港)
SOOCHOW SECURITIES (HONG KONG)

Client's Declaration for Oversea Securities Trading Access Right (Individual/Corporate Account)

海外證券交易使用權限客戶聲明 (個人 / 公司賬戶)

Account Name 客戶姓名: _____ Account Number: 賬戶號碼 _____

I/We, being the ultimate beneficial owner of the captioned account, hereby request for activation of trading services for the chosen oversea securities markets, and confirm the corresponding declaration(s):
本人/吾等為上述帳戶最終受益人，在此要求啟動所選的海外證券市場交易服務及確認以下相應的聲明:

1. Please activate the following relevant Oversea Securities Trading Access Right
   請啟動以下有關海外證券交易使用權限

Please ✓ the appropriate box. 請在適當方格內✓

Request for Activation 啟動	Market 市場	Declaration(s) 聲明
☐	Australia 澳洲	I/We (including legal entity) do not hold Australian nationality / citizenship / tax residency status. 本人/吾等(包括法人)沒有持有澳洲國籍、公民或稅務居民資格。
☐	Canada 加拿大	I/We (including legal entity) do not hold Canadian nationality / citizenship / tax residency status. 本人吾等(包括法人)沒有持有加拿大國籍、公民或稅務居民資格。
☐	Finland 芬蘭	I/We (including legal entity) do not hold Finnish nationality / citizenship / tax residency status. 本人/吾等(包括法人)沒有持有芬蘭國籍、公民或稅務居民資格。
☐	Taiwan 臺灣	I/We (including legal entity) do not hold any nationality / citizenship / tax residency status of People's Republic of China (PRC) or Taiwan; my/our residence/ office / correspondence / registered / business address is not in PRC or Taiwan ; or the sources of investment fund does not come from PRC or Taiwan. 本人/吾等(包括法人)沒有持有任何中國國籍或臺灣公民或稅務居民身分；本人/吾等的居住/ 工作 / 通訊 / 註冊 / 營業地址並非於中國或臺灣；或用作投資的資金來源並不是來自中國或臺灣。
☐	Japan 日本	I/We (including legal entity) do not fall under the category of "Corporate Insider" as stipulated in Article 166 of the Financial Instruments and Exchange Act. 本人/吾等(包括法人)並非Financial Instruments and Exchange Act (《金融商品取引法》) 第166條內所訂明的日本上市公司內幕信息知情人士。
☐	韓國	I/We (including legal entity) do not hold Korean nationality / citizenship / tax residency status and we are not established as a legal entity in Korea 本人/吾等(包括法人)沒有持有韓國國籍、公民或稅務居民資格亦非於韓國成立之法人。

2. I/We understand that the relevant declaration(s) does not constitute the success of the application. Soochow Securities International Brokerage Limited ("SCSIB") makes a final and uncontestable decision and from time to time amends the service status based on account information and relevant rules and laws, without prior notice and is not liable for any liability or claim in any format.

本人/吾等明白這些相關聲明不構成申請成功。東吳證券國際經紀有限公司(「東吳證券國際」)將根據戶口資料和相關法規作出最終和不可抗辯的決定和不時更改服務狀態,而且不予通知和不會承擔任何形式的責任或賠償。

3. I/We understand that it is my/our duty to immediately inform SCSIB should there be any change of my/our nationality / citizenship / tax residency status. I/We also understand that it is my/our duty to immediately inform SCSIB should I/we fall under the category of "Corporate Insider" as stipulated in Article 166 of the Financial Instruments and Exchange Act.

本人/吾等明白,若本人/吾等更改國籍、公民或稅務居民資格,有責任立即通知東吳證券國際。本人/吾等亦明白,若本人/吾等被視為 Financial Instruments and Exchange Act (《金融商品取引法》)第166條內所訂明的日本上市公司內幕信息知情人士,有責任立即通知東吳證券國際。

4. I/We will bear all consequences and assume full responsibilities resulting from relying on my/our relevant declaration(s) including indemnification of SCSIB for any losses, liabilities, costs, claims, actions, demands or whatsoever arising therefrom.

本人/吾等將承擔所有因本相關聲明所導致的責任及後果,包括對東吳證券國際的任何損失、義務、費用、索償、行動或其他由此所產生的賠償。

5. The relevant declaration shall be exclusively governed by and construed in accordance with the laws of Hong Kong. The exclusive jurisdiction for any disputes arising out of this declaration will be the courts of Hong Kong.

本相關聲明依據中華人民共和國香港特別行政區法律執行及詮釋。中華人民共和國香港特別行政區法院將就本聲明引起之任何糾紛有專屬管轄權。

Client's Signature 客戶簽署: _____   **Date 日期:** _____

For Office Use Only		
AE Signature:	Operation Manager / RO Signature:	
Name (AE Code):	Name:	
Date:	Date:	
Signature verified by:	Inputted by:	Checked by:
Date:	Date:	Date: